

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	8923189
<b>Application Number:</b>	10697851
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	9758
<b>Title of Invention:</b>	Price improvement processor for electronic trading of financial instruments
<b>First Named Inventor/Applicant Name:</b>	Thomas Peterffy
<b>Customer Number:</b>	26486
<b>Filer:</b>	David William Gomes/Jeanette Collymore
<b>Filer Authorized By:</b>	David William Gomes
<b>Attorney Docket Number:</b>	30894-101
<b>Receipt Date:</b>	29-NOV-2010
<b>Filing Date:</b>	30-OCT-2003
<b>Time Stamp:</b>	16:03:24
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$555
RAM confirmation Number	2836
Deposit Account	032410
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		01529148.PDF	615653 f401d21002a9077a1e4739b87efd2d553e1f d70b	yes	17

	Multipart Description/PDF files in .zip description			
	Document Description		Start	End
	Amendment Copy Claims/Response to Suggested Claims		1	2
	Claims		3	9
	Applicant Arguments/Remarks Made in an Amendment		10	16
	Extension of Time		17	17

## Warnings:

## Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30052 aea50afaa331e6ca4e96188248fb239f9787 dc2b	no	2
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## Warnings:

## Information:

Total Files Size (in bytes):			645705
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.